

09/24/99
4574 U.S. PTO

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 082225.P2813D3

Total Pages 5

First Named Inventor or Application Identifier Annette Wagner

Express Mail Label No. EL034161877US

4574 U.S. PTO
09/24/99
405626

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 30)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 11)
4. Oath or Declaration (Total Pages 12)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

7. _____ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. _____ Computer Readable Copy
- b. _____ Paper Copy (identical to computer copy)
- c. _____ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))
9. a. 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
10. English Translation Document (if applicable)
11. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. a. Small Entity Statement(s)
 b. Statement filed in prior application, Status still proper and desired
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: Express Mail Certification

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: 08/938,378

18. Correspondence Address

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME Jordan M. Becker

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1226

Country U.S.A. TELEPHONE (408) 730-8598 FAX (408) 730-8597

FEE TRANSMITTAL FOR FY 1999

TOTAL AMOUNT OF PAYMENT (\$) \$1,108.00

Complete If Known:

Application No. New ApplicationFiling Date HerewithFirst Named Inventor Annette WagnerGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 082225.P2813D3

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee	Fee	Fee	Fee		
Code	Fee	Code	(\\$)		
101	760	201	380	Utility application filing fee	\$760.00
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) \$ \$760.002. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>22</u> - 20** = <u>2</u>	X <u>18.00</u>	= <u>\$36.00</u>
Independent Claims	<u>7</u> - 3** = <u>4</u>	X <u>78.00</u>	= <u>\$312.00</u>
Multiple Dependent			=

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
Code	Fee	Code	Fee	(\\$)
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue Independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 348.00

06/08/99

- 1 -

PTO/SB/17 (12-98)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		
Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for response within first month
116	380	216	190	Extension for response within second month
117	870	217	435	Extension for response within third month
118	1,360	218	680	Extension for response within fourth month
128	1,850	228	925	Extension for response within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive unavoidably abandoned application
141	1,210	241	605	Petition to revive unintentionally abandoned application
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Jordan M. BeckerSignature Jordan M. BeckerDate 9/24/99Reg. Number 39,602

Deposit Account User ID

02-2666

(complete if applicable)

JC503 U.S. PTO
09/405826



EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL034161877US

Date of Deposit: September 24, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Mary E. Buggie

(Type or printed name of person mailing paper or fee)

Mary E. Buggie

(Signature of person mailing paper or fee)

9/24/99

(Date signed)

Serial/Patent No.: _____ Filing/Issue Date: Herewith
Client: Sun Microsystems, Inc. Atty/Secty Initials: JMB/gra/meb
Title: GRAPHICAL USER INTERFACE FOR A PORTABLE TELEPHONE

bstz File No.: 082225.P2813D3

Date Mailed: September 24, 1999

Atty/Secty Initials: JMB/gra/meb

Docket Due Date: *****

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
- Appeal Brief (____ pgs.) (in triplicate)
- Application - Utility (____ pgs., with cover and abstract)
- Application - Rule 1.53(b) Continuation (____ pgs.)
- Application - Rule 1.53(b) Divisional (30 pgs.)
- Application - Rule 1.53(b) CIP (____ pgs.)
- Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- Application - Design (____ pgs.)
- Application - PCT (____ pgs.)
- Application - Provisional (____ pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing
- Declaration & POA (12 pgs.) (copy)
- Disclosure Docs & Oig & Cpy of Inventor's Signed Letter (____ pgs.)
- Drawings: 11 # of sheets includes 11 figures

- Express Mail No: EL034161877US Check No. 30691
- _____ Month(s) Extension of Time Check No. \$1,108.00
Amt: _____
- Information Disclosure Statement & PTO-146 (4 pgs.) Check No. _____
- Issue Fee Transmittal Check No. _____
Amt: _____
- Notice of Appeal
- Petition for Extension of Time
- Petition for _____
- Postcard
- Power of Attorney (____ pgs.)
- Preliminary Amendment (____ pgs.)
- Reply Brief (____ pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter, in duplicate
- Fee Transmittal, in duplicate

Other: 13 Cited References